

Appendix 7 Rotherham Better Care Fund Risk Register

Risk	Risk Impact		Score	RAG	Risk Owner	Mitigating Actions
	Likelihood	Impact				
Not meeting the required deadlines for completion of BCF Plan	1	2	2	Green	HWBB	Timeline and responsibilities for completion of template agreed
Loss of key leaders in Task Group or Officers Group	3	3	9	Green	Martin Kimber/ Chris Edwards	Leadership groups formal membership and substitutes agreed
Plan for BCF not agreed by Task Group	2	2	4	Green	Tom Cray/ Chris Edwards	Work Plan developed through multi agency officer group and agreed with leadership team and Health and Wellbeing Board
Unable to agree local performance indicator	1	2	2	Green	Task Group	Local Indicator agreed from the list of 9 to reflect a critical strand of work in Rotherham
Financial information on joint and single budget contributions not agreed	2	2	4	Green	Keely Firth/ Mark Scarrott	Work to progress through financial budgets and align with each organisation
Insufficient or ineffective consultation undertaken	3	3	9	Green	Tom Cray/ Chris Edwards	A communication and consultation strategy developed to ensure significant sharing of information re BCF and future impact. To include customers, patient reps, providers and stakeholders. A forward plan for consulting and engaging with the public and providers is also included in the local plan.
NHS England deem the BCF plan is not innovative enough to deliver change	3	3	9	Green	HWBB	Challenge process built into formal discussions and agreement of the plan
Governance is deemed by NHS England not to meet requirements to deliver the BCF change	3	2	6	Green	HWBB	Task group to agree the most appropriate governance structure for BCF, which includes the HWB as the accountable body.

Shifting of resources could destabilise current service providers.	3	3	9	Green	HWBB	<p>Joint working with stakeholders to develop implementation plans and timelines that include contingency planning. Assessment of the potential impacts on the provider to be collated as integral to the implementation plan.</p> <p>CCG to receive Quality Impact Assessments in March from providers regarding their respective efficiency plans hence the amber score.</p> <p>Local authority will continue to engage with providers through the Shaping the Future events programme to ensure potential impact is understood and planned for.</p>
Performance targets are unachievable	3	4	12	Amber	Scott Clayton/Ian Love	<p>Metrics agreed following robust process testing for “statistically significant” impact and investments made through BCF where appropriate.</p> <p>Note: The baseline year for targets had neither adverse weather or any major outbreaks, this could have an impact on achieving targets in subsequent years, appropriate monitoring of performance throughout the year to ensure they continue to be achievable.</p>
Unintended consequences of achieving savings in one area of the system could result in higher costs elsewhere.	4	3	12	Amber	Martin Kimber/. Chris Edwards	<p>All partners have made a commitment to ensure that if evidence of these consequences is seen, cash will flow to the right place across the system that all partners will benefit from.</p> <p>Both partners have agreed a ‘risk pool’ to form part of the BCF plan, which can be used if the plan results in any unfunded consequences on any part of the system.</p> <p>The BCF plan will be monitored on a quarterly basis by the Task group, and any consequences will be reviewed. We will consider turning this risk</p>

						green in-year based on this process if both partners are comfortable with progress.
Failure to receive 50% of the pay-for-performance element at the beginning of 2015/16.	3	4	12	Amber	HWBB	HWB to ensure plan meets the national requirements and is fully adopted by April. Performance management framework in place to monitor progress throughout 2014/15 to ensure meet agreed targets.
Failure to receive the remaining 50% of the pay-for-performance element mid 2015/16 due to not meeting the in-year performance targets.	3	4	12	Amber	HWBB	Performance management process in place, accountable to the HWB
Introduction of the Care Bill resulting in an increase in cost of care provision from April 2015, impacting on social care services and funding.	5	4	20	Red	Shona McFarlane	Working group established and initial impact assessment undertaken of the potential effects of the Care Bill.